Financial Service Management

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1.	The prime function of stock exchanges is to offer to the existing securities.			
	A. Stock	B. Investment		
	C. Liquidity	D. Fund Raising		
2.	Term lending institutions aremarket intermediaries.			
	A. Stock	B. Investment		
	C. Capital	D. Fund		
3.	Loan syndication by a financial intermediary is a kind ofactivity.			
		B. Non Fees Based		
	C. Cash Based	D. Fund Based		
4.	Merchant Banking do not acc	cept		
	A. Deposit B. Issue	-		
5.	When shares are issued to the	e existing shareholders of a company, it is called		
	A. Public issue	B. Rights issue		
	C. Private issue	D. Government issue		
6.	fund invests in highl	y liquid securities like commercial paper.		
	A. Money Market Mutual	• •		
	<u> </u>	D. Hybrid Fund		
7.		trinsic value of each unit of a mutual fund.		
	A. Net Asset Value			
	C. New Acquisition Value	D. Net Asset Volume		
8.	is the umbrella body of all the Mutual Funds registered with SEBI.			
	A. AMC	_		
	C. IRDA	D. RBI		
9.	Factoring is afinancia	l service.		
	A. Fees Based			
	C. Cash Based	D. Fund Based		
10.		t necessarily a party to a forfeiting transaction?		
	A. Exporter B. Importer	· · · · · · · · · · · · · · · · · · ·		
11.	1 1	which the securitization transaction is actually operated		
	•	C. Investor D. Seller		
12.	C	lebts of a client to a financial intermediary is		
	called	,		
	A. Bill discounting	B. Factoring		
	C. Securitisation	D. Sale		
13.	The instruments that are 'mar			
	A. Forward	B. Future		
	C. Swap	D. Options		
14.	Financial Derivatives are mai	-		
	A. Speculative Activities	B. Creating More Risk		
	C. Hedging Risk	D. Earning Risk		
15.	contracts are traded in	3		
	A. Forward B. Future	C. Put Options D. Call Option		

16as the regulator of HFCs, guides, monitors and inspects the activities of					
HFCs so that their efforts are channelized in the right direction.					
A. IRDA B. IDBI	C. NHB	D. SEBI			
17finance has receive	ed a boost thro	ough a combination of	growing demand		
and rising affordability.					
A. Consumer B. Ho	using	C. Banking	D. Stock		
18. ALM Stands for					
A. Asset Lability Manageme	quiring and landing M	lechanism			
C. Asset Liquidity Management D. Arranging and Lending Mechanism					
9. The Housing and Urban Development Corporation (HUDCO) was established					
on					
A. 1954 B. 1988	C. 1970	D. 1974			
20are main agencies th	20are main agencies that are involved in the provision of consumer finance.				
A. Traders B. Buyer	C. Finance C	ompany D. C	redit Union		
21. The first depository in India	is				
A. SEBI B. NSDL	C. CDSL	D. IDFC			
22. Depository is an organisation	2. Depository is an organisation where the securities of an investor are held in an				
an					
A. Physical form B. Script form C. Electro		C. Electronic form	D. None of these		
23. A depository should enter in	3. A depository should enter into an agreement with depositary participants as				
its					
A. customer B. bro	ker C. inte	ermediator D. ag	ent		
24. DP stands for					
A. Depository Participant	A. Depository Participant B. Depository Partner				
C. Depository Panel					
25is a process by wh	5is a process by which physical share certificates of an investors are				
converted into electronic form.					
A. Dematerialization	B. Rematerial	ization			
C. Depository	D. Warranty				